

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Ramon A. Caamaño

Appl. No.

10/801,163

Filed

: March 8, 2004

For

GENERATOR FOR POWERING

A REEL FROM A FLUID FLOW

Examiner

Unknown

Group Art Unit

3748

CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 9, 2004

(Date)

Sarjivpal S. Gill, Reg. No. 42,578

#### PETITION TO CORRECT FILING DATE UNDER 37 C.F.R. § 1.181

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully petitions to correct the filing date of the above-captioned application.

#### Statement of Facts

The attorneys for the assignee of this application, Great Stuff, Inc., mailed the above-captioned patent application by Express Mail on March 5, 2004. The Express Mail No. for the application package was EV 319028732 US. The Official Filing Receipt shows a filing date of March 8, 2004.

On August 6, 2004, the attorneys filed a Request for Corrected Filing Receipt. On September 13, 2004, the Office mailed a Response to Request for Corrected Filing Receipt, in which the Office indicated that a petition to accord a filing date should be submitted. The present petition is being submitted accordingly.

Enclosed herewith are copies of:

Appl. No. Filed

10/801,163

: March 8, 2004

1. Applicant's Express Mail receipt for the application, showing Express Mail No. EV 319028732 US. This copy is of the receipt prior to processing by the post office. Applicant is not in possession of the processed receipt.

- 2. The Express Mail certificate of mailing for the application, again showing Express Mail No. EV 319028732 US, and dated March 5, 2004.
- 3. A confirmation sheet printed from the United States Postal Service website. This sheet shows that the package corresponding to Express Mail No. EV 319028732 US was accepted by the Postal Service on March 5, 2004 and delivered to the Patent Office on March 8, 2004.
- 4. The Official Filing Receipt, showing a filing date of March 8, 2004.
- 5. Applicant's Request for Corrected Filing Receipt, filed August 6, 2004.
- 6. The Office's Response to Request for Corrected Filing Receipt, mailed September 13, 2004.
- 7. Declaration of Carol Ann Macarty, the attorneys' U.S. docketing agent.
- 8. Ms. Macarty's log sheet for March 5, 2004.

#### Points to Be Reviewed

Under 37 C.F.R. § 1.10(a)(1), any correspondence received by the U.S. Patent and Trademark Office (USPTO) that was delivered by the "Express Mail Post Office to Addressee" service of the United States Postal Service (USPS) will be considered filed with the USPTO on the date of deposit with the USPS. "The date of deposit with USPS is shown by the 'date in' on the 'Express Mail' label *or other official USPS notation*." 37 C.F.R. § 1.10(a)(2) (emphasis added).

In this case, the enclosed confirmation sheet from the USPS website clearly shows that the application, which was filed under Express Mail No. EV 319028732 US, was deposited with the USPS on March 5, 2004. Applicant respectfully submits that the confirmation sheet from the USPS website is "other official USPS notation" within the meaning of 37 C.F.R. § 1.10(a)(2).

Appl. No.

: 10/801,163

Filed

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March 8, 2004

#### **Action Requested**

Applicant respectfully requests that the Official Filing Receipt be corrected to reflect the true filing date of March 5, 2004.

Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated:

Rv

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Registration No. 42,578

Attorney of Record

Customer No. 20,995

(415) 954-4114

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# Knobbe Martens San & Bear LLP

Intellectual Property Law

2040 Main Street Fourteenth Floor Irvine, CA 92614 Tel 949-760-0404 Fax 949-760-9502 www.kmob.com



Sonny S. Gill 415-217-8399 sglll@kmob.com

MAIL STOP PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Attorney Docket No.

GRTSTF.036A

Applicant(s)

Ramon A. Caamano

For

GENERATOR FOR POWERING A REEL

FROM A FLUID FLOW

**Attorney** 

Sanjivpal S. Gill

"Express Mail"

Mailing Label No.

EV 319028732 US

**Date of Deposit** 

March 5, 2004

I hereby certify that the accompanying

Transmittal letter; specification in 17 pages; 4 sheets of drawings; SIGNED Declaration and Power of Attorney in 1 page; Recordation Form Cover Sheet and Assignment in 2 pages; Power of Attorney by Assignee and copy of Assignment in 3 pages; Check for Filing Fees; Return Prepaid Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Carol Macarty

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#### Track & Confirm

#### **Shipment Details**

You entered EV31 9028 732U S

Your item was delivered at 11:14 am on March 08, 2004 in ARLINGTON, VA 22202 to US PATENT 2900. The item was signed for by D BARFIELD.

Here is what happened earlier:

- NOTICE LEFT, March 07, 2004, 9:16 am, ARLINGTON, VA 22202
- ARRIVAL AT UNIT, March 07, 2004, 9:06 am, ARLINGTON, VA 22210
- ENROUTE, March 06, 2004, 4:51 pm, DULLES, VA 20102
- ENROUTE, March 05, 2004, 7:20 pm, SAN FRANCISCO, CA
- ACCEPTANCE, March 05, 2004, 4:46 pm, SAN FRANCISCO, CA 94111

#### **Notification Options**

▶ Request Proof of Delivery

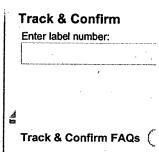
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#### United States Patent and Tradem

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

FILING OR 371 (c) DATE APPL NO. ART UNIT FIL FEE REC'D ATTY.DOCKET NO **DRAWINGS TOT CLMS** IND CLMS 10/801,163 3748 03/08/2004 568 GRTSTF.036A 26 6

**CONFIRMATION NO. 1042** 

FILING RECEIPT

\*OC000000012829907\*

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET FOURTEENTH FLOOR **IRVINE, CA 92614** 

Date Mailed: 06/01/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE. NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ramon A. Caamano, San Carlos, CA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 05/31/2004

**Projected Publication Date: 09/08/2005** 

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Salignal (

Generator for powering a reel from a fluid flow

**Preliminary Class** 



# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

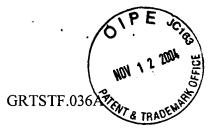
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	:	Ramon A. Caamano	) Group Art Unit 3748
Appl. No.	:	10/801,163	)
Filed	:	March 5, 2004	)
For	:	GENERATOR FOR POWERING A REEL FROM A FLUID FLOW	) ) ) )
Examiner	:	Unknown	,

#### **Declaration of Carol Ann Macarty**

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

#### Dear Sir:

I, Carol Macarty, U.S. Docketing Agent for Knobbe, Martens, Olson & Bear, LLP (the "Firm"), which is patent counsel for Great Stuff, Inc., the assignee of the present application, hereby declare under oath the following:

On March 5, 2004 I signed the Express Mail stamp and walked the package to the Post Office. The Firm's standard procedure for processing outgoing filings is to write the client code on the Docketing Log Sheet. I have enclosed a copy of the Log Sheet showing the filing date of March 5, 2004.

I have also enclosed copies of the filing showing the date of March 5, 2004.

I hereby declare that all statements made herein of my own knowledge are true, and all statements made on information and belief herein are believed to be true and are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such

willful false statement may jeopardize the validity of this application or any granting of the application resulting therefrom.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: November 4, 2004

Carol Ann Macarty
One Sansome Street

**Suite 3500** 

San Francisco, CA 94104

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant** 

Ramon A. Caamano

Group Art Unit 3748

Appl. No.

10/801,163

Filed -

March 5, 2004

For

GENERATOR FOR POWERING A

REEL FROM A FLUID FLOW

Examiner

Unknown

#### REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Office of Initial Patent Examination Customer Service Center Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the true filing date of March 5, 2004. Presently, the Filing Receipt incorrectly shows the filing date as March 8, 2004. Attached is a copy of the Express Mail Receipt identifying Express Mail package no. EV 319028732 US. Also attached is a copy of the Express Mail Certificate of Mailing mailed with the patent application and signed by Carol Macarty on March 5, 2004 and a confirmation sheet from the United States Postal Service website, both identifying Express Mail package no. EV 319028732 as being mailed on March 5, 2004.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 8/6/04

Saniivpal S. Gil

Registration No. 42,578

Attorney of Record

Customer No. 20,995

(415) 954-4114



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Page 1 of 2

PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARIMENT OF COMMI United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vingina 22313-1450 www.usplo.gov

APPLICATION NUMBER

FILING OR 371(c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

10/801,163

03/08/2004

Ramon A. Caamano

GRTSTF.036A

**CONFIRMATION NO. 1042** 

20995 KNOBBE MARTENS OLSON & BEAR LLP 2040 MAIN STREET **FOURTEENTH FLOOR IRVINE, CA 92614** 

\*OC00000013777770\*

Date Mailed: 09/13/2004

#### RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

#### **Application Filing Date**

In response to your request for a corrected Filing Receipt, the Office cannot comply with your request because:

If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, a petition to accord a filing date should be submitted along with a copy of the Express Mail label showing the "date in" and be directed to the Office of Petitions.
A copy of the Express Mail label was not enclosed with your request. Petitions under 37 CFR 1.10 require a copy of the Express Mail Label to be submitted.
The "date in" on the Express Mail label is the date given on the Filing Receipt.
The filing date accorded to the application is the date the application met the filing date requirements of 37 CFR 1.53(b) or (c).
Applications submitted with a certificate of mailing under 37 CFR 1.8 are accorded a filing date as of the date the application is received in the U.S. Patent and Trademark Office. A request to review the filing date accorded to the application must be in the form of a petition.
The filing date on your return postcard is the same as the date on your Filing Receipt. A request to review the filing date accorded to the application must be in the form of a petition.

A petition under 37 CFR 1.10, 37 CFR 1.53 or 37 CFR 1.182 is needed to request a change to the filing date. A \$130 petition fee is required for a petition under 1.53 or 1.182. If you choose to file a petition, the petition and the \$130 petition fee, if any, to:

Washington, DC 20231

Customer Service Center Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Case Docket No. GRTSTF.036A Date: November 9, 2004

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Ramon A. Caamano

Appl. No.

10/801,163

Filed

March 8, 2004

For

GENERATOR FOR

POWERING A REEL FROM A

**FLUID FLOW** 

Examiner

Unknown

Group Art Unit:

3748

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

November 9, 2004

(Date)

Sanjivpal S. Gill, Reg. No. 42,578

#### TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) Petition to Correct Filing Date Under 37 C.F.R. § 1.181
- (X) Copy of Applicant's Express Mail receipt.
- (X) Express Mail certificate of mailing.
- (X) Confirmation sheet printed from the United States Postal Service website.
- (X) Declaration of Carol Ann Macarty.
- (X) Official Filing Receipt.
- (X) Applicant's Request for Corrected Filing Receipt.
- (X) The Office's Response to Request for Corrected Filing Receipt.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Case Docket No. GRTSTF.036A Date: November 9, 2004

(X) Return prepaid postcard.

Sanjivpal S. Gill Registration No. 42,578 Attorney of Record Customer No. 20,995 (415) 954-4114

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